

# The Minimum of a Certain Linear Form<sup>1</sup>

Karl Goldberg

(March 23, 1959)

The positive minimum of the integral linear form  $L(x_1, \dots, x_n) = a_1x_1 + \dots + a_nx_n$  is found subject to the conditions  $a_i > 0$  and  $L(x_1, \dots, x_n) \geq 2a_ix_i$  for  $i = 1, 2, \dots, n$ .

Let  $a_1 \leq a_2 \leq \dots \leq a_n$  be  $n \geq 3$  positive integers. We seek the positive minimum  $M$  of the linear form

$$L(x_1, x_2, \dots, x_n) = a_1x_1 + a_2x_2 + \dots + a_nx_n$$

over all non-negative integers  $x_1, x_2, \dots, x_n$  such that

$$L(x_1, x_2, \dots, x_n) \geq 2a_ix_i \quad (1)$$

for all  $i = 1, 2, \dots, n$ .

Let  $[a_1, a_2]$  denote the least common multiple of  $a_1$  and  $a_2$ .

For each  $i = 3, 4, \dots, n$ , define  $r_i$  in the following way: If either  $a_1$  or  $a_2$  divides  $a_i$ , or if  $a_i = a_j$  for some  $j \neq i$ , set  $r_i = 0$ . Otherwise, let  $r_i$  be the minimum of the least non-negative residues modulo  $a_1$  of

$$a_2 - a_i, 2a_2 - a_i, \dots, [(a_i - 1)/a_2]a_2 - a_i.$$

We shall prove

**THEOREM:**  $M$  is the minimum of  $2[a_1, a_2], 2a_3 + r_3, 2a_4 + r_4, \dots, 2a_n + r_n$ .

As a consequence we have the inequality

$$2a_3 + a_1 - 1 \geq M \geq 2a_2.$$

Also, if  $L(x_1, x_2, \dots, x_n) = M$ , then at most three of the  $x_k$  are positive. At least two must be positive. If exactly two are positive, then either  $x_1 = [a_1, a_2]/a_1$  and  $x_2 = [a_1, a_2]/a_2$ , or  $x_1 = a_i/a_1$  and  $x_i = 1$ , or  $x_2 = a_i/a_2$  and  $x_i = 1$ , or  $x_i = x_j = 1$  for some  $j > i \geq 3$ . If three of the  $x_k$  are positive, then both  $x_1$  and  $x_2$  are positive; the other positive  $x_i$  equals 1 and we have  $x_1 = -[(a_2x_2 - a_i)/a_1]$  for that  $i$ . Under any conditions  $M$  is achieved only with  $x_i \leq 1$  for all  $i \geq 3$ . We shall prove all this.

M. Newman<sup>2</sup> refers to our theorem in the case  $n = 3$ . We shall treat this case first.

We have  $a_1 \leq a_2 \leq a_3$ , and we want to find the

positive minimum  $M$  of the linear form

$$L(x_1, x_2, x_3) = a_1x_1 + a_2x_2 + a_3x_3$$

over all non-negative integers  $x_1, x_2, x_3$  satisfying

$$L(x_1, x_2, x_3) \geq 2a_ix_i \quad i = 1, 2, 3. \quad (2)$$

Let  $x'_1 = -[(a_2 - a_3)/a_1]$ . Because  $a_2 \leq a_3$ ,  $x'_1$  is non-negative. It satisfies

$$a_1 - 1 \geq a_2 - a_3 + a_1x'_1 \geq 0. \quad (3)$$

Because  $a_1 \leq a_2$ , this implies

$$a_3 \geq a_3 - (a_2 - a_1) - 1 \geq a_1x'_1. \quad (4)$$

Now consider  $L(x'_1, 1, 1) = a_1x'_1 + a_2 + a_3$ . From (3) we have

$$2a_3 + a_1 - 1 \geq L(x'_1, 1, 1) \geq 2a_3. \quad (5)$$

We know that  $2a_3 \geq 2a_2$ , so that  $L(x'_1, 1, 1) \geq 2a_3 \geq 2a_2$ . Finally, (4) yields  $L(x'_1, 1, 1) \geq 2a_3 \geq 2a_1x'_1$ .

This proves that  $x_1 = x'_1, x_2 = x_3 = 1$  satisfies (2). It follows that the left-hand inequality in (5) holds for  $M$ :

$$2a_3 + a_1 - 1 \geq M. \quad (6)$$

From this point we assume that  $x_1, x_2, x_3$  satisfy (2) and

$$L(x_1, x_2, x_3) = M.$$

Since  $L(x_1, x_2, x_3) \geq 2a_3x_3$ , we have from (6) and  $a_3 \geq a_1$  that  $x_3 = 0$  or  $x_3 = 1$ .

If  $x_3 = 0$ , then (2) implies  $a_1x_1 = a_2x_2$ . Under this condition the minimum value of  $L(x_1, x_2, x_3)$  is  $2[a_1, a_2]$ , occurring for  $x_1 = [a_1, a_2]/a_1$  and  $x_2 = [a_1, a_2]/a_2$ .

From now on  $x_3 = 1$ . From  $M = a_1x_1 + a_2x_2 + a_3$  and (2), we have  $M \geq 2a_3$ . From (6) we have

$$2a_3 + a_1 - 1 \geq 2a_3 + a_1x_1 + (a_2x_2 - a_3),$$

from which it follows that  $x_1 > 0$  implies  $a_3 - 1 \geq a_2x_2$ . If  $x_1 = 0$ , then (2) implies  $a_2x_2 = a_3x_3$ , so that  $M = 2[a_2, a_3]$ .

<sup>1</sup> The preparation of this paper was supported in part by the Office of Naval Research.

<sup>2</sup> M. Newman, Construction and application of a class of modular functions, II, Proc. London Math. Soc. 9, 373 (1959).

But  $2[a_2, a_3] > 2a_3 + a_1 - 1$  unless  $a_2$  divides  $a_3$ . Thus  $x_1 = 0$  is possible only if  $a_2$  divides  $a_3$ , in which case  $x_2 = a_3/a_2$  and  $M = 2a_3$ . Similarly  $x_2 = 0$  is possible only if  $a_1$  divides  $a_3$ , in which case  $x_1 = a_3/a_1$  and  $M = 2a_3$ . Since  $a_3$  divisible by either  $a_1$  or  $a_2$  leads to  $M = 2a_3$  which is the best possible result with  $x_3 = 1$ , we may now assume that neither  $a_1$  nor  $a_2$  divides  $a_3$  and that  $x_1 x_2 > 0$ .

With  $x_1 > 0$  we must have  $a_3 - 1 \geq a_2 x_2$ . Fix  $x_2$ . We shall find that permissible value of  $x_1$  which minimizes  $L(x_1, x_2, x_3) = a_1 x_1 + a_2 x_2 + a_3$ . Clearly this is the least positive value of  $x_1$  satisfying (2). We have

$$L(x_1, x_2, x_3) = a_1 x_1 + 1 + (a_3 - 1 - a_2 x_2) + 2a_2 x_2 > 2a_2 x_2$$

for any value of  $x_1$ . The other inequalities require

$$\frac{a_3 + a_2 x_2}{a_1} \geq x_1 \geq \frac{a_3 - a_2 x_2}{a_1}.$$

Since  $2a_2 x_2 \geq 2a_2 > a_1$ , there are values of  $x_1$  satisfying these inequalities. The least such  $x_1$  is the least integer greater than or equal to  $(a_3 - a_2 x_2)/a_1$ . This last quantity is positive, so this value of  $x_1$  is positive. It can be written

$$x_1'' = - \left[ \frac{a_2 x_2 - a_3}{a_1} \right].$$

Let  $r_3(x_2)$  be the least non-negative residue modulo  $a_1$  of  $a_2 x_2 - a_3$ . Then  $r_3(x_2) = a_2 x_2 - a_3 + a_1 x_1''$ . It follows that

$$L(x_1'', x_2, 1) = 2a_3 + r_3(x_2).$$

We want the least of these values for  $x_2$  lying between 1 and  $[(a_3 - 1)/a_2]$ . Under our assumptions on the divisibility of  $a_3$ , this is just  $2a_3 + r_3$  with  $r_3$  as defined in the theorem. This proves the theorem for  $n = 3$ .

Now assume  $n > 3$ . We have  $a_1 \leq a_2 \leq \dots \leq a_n$ , and we want to find the positive minimum  $M$  of the

linear form  $L(x_1, x_2, \dots, x_n)$  over all non-negative integers  $x_1, x_2, \dots, x_n$  satisfying (1).

If  $x_1, x_2, x_3$  satisfy (2), then  $x_1, x_2, x_3, 0, \dots, 0$  satisfy (1). Therefore our new  $M$  satisfies (6). Let

$$L(x_1, x_2, \dots, x_n) = M.$$

Then

$$\begin{aligned} 2a_3 + a_1 - 1 &\geq a_1 x_1 + a_2 x_2 + a_3 x_3 + \dots + a_n x_n \\ &\geq a_1(x_1 + x_2) + a_3(x_3 + \dots + x_n). \end{aligned}$$

It follows that

$$x_3 + x_4 + \dots + x_n \leq 2$$

and that  $x_3 + x_4 + \dots + x_n = 2$  requires  $x_1 + x_2 = 0$ . On the other hand,

$$3a_i > 2a_3 + a_1 - 1 \geq L(x_1, x_2, \dots, x_n) \geq 2a_i x_i, \\ i = 3, 4, \dots, n,$$

implies

$$x_i \leq 1, \quad i = 3, 4, \dots, n.$$

Assume  $x_3 + x_4 + \dots + x_n = 2$ . Then  $x_i = x_j = 1$  for some  $i, j \geq 3$  and all other  $x_k = 0$ . Then (1) implies  $a_i = a_j$  and  $M = 2a_i$ . Again this is the best possible result with  $x_i = 1$ .

If  $x_3 + x_4 + \dots + x_n = 0$ , then (1) implies  $a_1 x_1 = a_2 x_2$ . As before, this implies  $M = 2[a_1, a_2]$ .

If  $x_3 + x_4 + \dots + x_n = 1$ , then  $x_i = 1$  for some  $i \geq 3$  and all other  $x_k = 0$  for  $k \geq 3$ . The problem then reverts to the case  $n = 3$  with  $a_i$  replacing  $a_3$ . Our previous arguments complete the proof of the theorem, and the statements in the subsequent paragraph.

WASHINGTON, D.C.

(Paper 64B1-20)